

MAR. 16. 2006 10:57AM

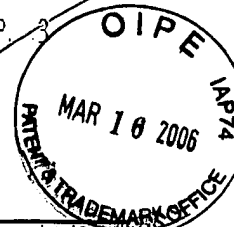
BMS PATENT DEPT

NO. 9822 P. 3

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Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
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23914 7590 01/23/2006

LOUIS J. WILLE
BRISTOL-MYERS SQUIBB COMPANY
PATENT DEPARTMENT
P O BOX 4000
PRINCETON, NJ 08543-4000

03/16/2006 MGBREM2 00000023 193880 10743449

01 FC:1501 1400.00 DA
02 FC:1504 APPLICATION FEE 1400.00 DA
03 FC:8001 10743.449 30.00 DA

FILING DATE

12/19/2003

FIRST NAMED INVENTOR

Tackyu Lee

ATTORNEY DOCKET NO.

PH 7483 NP

CONFIRMATION NO.

1765

TITLE OF INVENTION: SUBSTITUTED TRICYCLIC GAMMA-CARBOLINES AS SEROTONIN RECEPTOR AGONISTS AND ANTAGONISTS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	04/24/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
SEAMAN, D MARGARET M	1625	546-086000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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1. Sammy G. Duncan, Jr.

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Bristol-Myers Squibb Company

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Princeton, N.J.

Reel 014510 Frame 0854

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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Date March 16, 2006

Typed or printed name

Sammy G. Duncan, Jr.

Registration No. 46,675

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PTOL-85 (Rev. 07/05) Approved for use through 04/30/2007.

OMB 0651-0033 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



Bristol-Myers Squibb Company
Patent Department

DATE: 03/16/06

FACSIMILE TRANSMITTAL SHEET

TO: USPTO – Issue Fee Branch
FAX NO.: (571) 273-2885
FROM: Sammy G. Duncan, Jr., Ph.D.
TELEPHONE NO.: (609) 252-6270
FACSIMILE NO.: (609) 252-4526
RE: U.S. Application Serial No.: 10/743449
Our Docket No.: PH7483 NP
Number of Pages: 4 (including cover sheet)

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Depositor/ Sammy G. Duncan, Jr.
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